The opinion in support of the decision being entered today was <u>not</u> written for publication and is <u>not</u> binding precedent of the Board.

Paper No. 14

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

 $\underline{\mathtt{Ex\ parte}}$ THEODORE W. HOUSTON

Appeal No. 1999-1939 Application No. 08/862,449

ON BRIEF

Before HAIRSTON, JERRY SMITH, and BLANKENSHIP, <u>Administrative</u> <u>Patent Judges</u>.

HAIRSTON, Administrative Patent Judge.

DECISION ON APPEAL

This is an appeal from the final rejection of claims

1 through 4, 8, 9, 11, 15, 17, 19, 21, 23, 25, 27, 29 and 31.

The disclosed invention relates to an integrated circuit that includes a DRAM device.

Claims 1 and 25 are illustrative of the claimed invention, and they read as follows:

1. An integrated circuit including a DRAM, said DRAM comprising:

a memory array including a plurality of pass gate transistors and a plurality of memory elements;

said pass gate transistors each having a gate material selected to provide a substantially near mid-gap work function or greater; and

a peripheral area including a plurality of logic transistors.

25. An integrated circuit including a DRAM device, said DRAM comprising:

a memory array including a plurality of pass gate transistors and a plurality of memory elements;

said pass gate transistors comprising n-channel devices having P+ doped polysilicon gate regions; and

a peripheral area including a plurality of logic transistors.

The references relied on by the examiner are:

Klein et al. (Klein) 1972	3,673,471	Jun.	27,
Noguchi 1989	4,841,346	Jun.	20,
Azuma et al. (Azuma)	4,888,631	Dec.	19,
1989 Lee	5,164,805	Nov.	17,
1992 Shino 1993	5,256,894	Oct.	26,
Misawa et al. (Misawa 1998) 5,714,771	Feb.	3,
	(effective filing date May	15,	
1989)			

Tanigawa et al. (Tanigawa) 5,740,099 Apr. 14, 1998 (filed Feb. 5, 1996)

Masui 6-151854 May 31, 1994 (Published Japanese Patent Application)

Claims 1 through 3, 9 and 11 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Tanigawa in view of Lee.

Claims 1 through 4, 9 and 11 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Tanigawa in view of Lee and Klein.

Claims 1 through 4, 8, 11, 15, 17 and 25 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Tanigawa in view of Lee, Klein, Misawa or Azuma.

Claims 1 through 4, 8, 11, 15, 17 and 25 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Tanigawa in view of Lee, Klein, Misawa or Azuma in further view of Shino, Masui and Noguchi.

Reference is made to the briefs (paper numbers 9 and 11) and the answer (paper number 10) for the respective positions of the appellant and the examiner.

OPINION

We have carefully considered the entire record before us, and we will reverse all of the rejections of record.

All of the claims on appeal require that the pass gate transistors have either "a gate material selected to provide a substantially near mid-gap work function or greater" (claims 1 through 4, 8, 9, 11 and 15) or "n-channel devices having P+ doped polysilicon gate regions" (claims 17, 19, 21, 23, 25, 27, 29 and 31). Inasmuch as the examiner's sole statement (answer, page 3) that "Tanigawa teaches thin film memory cell transistors combined with peripheral transistors" fails to identify which of the transistors in Tanigawa are pass gate transistors, we would have to resort to speculation as to which transistors in Tanigawa are subject to modification based upon the teachings of Lee, Klein, Misawa, Azuma, Shino, Masui or Noguchi. In keeping with In re Oetiker, 977 F.2d 1443, 1445, 24 USPQ2d 1443, 1444 (Fed. Cir. 1992), the examiner, and not the Board, bears the initial burden of establishing the prima facie unpatentability of the claimed invention. Thus, we agree with appellant's argument (reply brief, page 2) that the applied references neither teach nor would have suggested the specifically claimed pass gate

transistors in a DRAM.

DECISION

The decision of the examiner rejecting claims 1 through 4, 8, 9, 11, 15, 17, 19, 21, 23, 25, 27, 29 and 31 under 35 U.S.C. § 103(a) is reversed.

REVERSED

KENNETH W. HAIRSTON)	
Administrative Patent	Judge)	
)	
)	
)	BOARD OF PATENT
JERRY SMITH)	APPEALS AND
Administrative Patent	Judge)	INTERFERENCES
)	
)	
)	
HOWARD B. BLANKENSHIP)	
Administrative Patent	Judge)	

KWH:hh

CARLTON H. HOEL
TEXAS INSTRUMENTS, INC.
P.O. BOX 655474
M/S 219
DALLAS, TX 75265